

**DECLARATION FOR PATENT APPLICATION**

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name.

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled **TOKENLESS BIOMETRIC ELECTRONIC FINANCIAL TRANSACTIONS VIA A THIRD PARTY IDENTIFICATOR**, the specification of which:

☒ is attached hereto.

☐ was filed on \_\_\_\_\_ as  
Application Serial No. \_\_\_\_\_

☐ and was amended on \_\_\_\_\_  
(if applicable)

☐ with amendments through \_\_\_\_\_  
(if applicable)

I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose information which is material to patentability as defined in Title 37, Code of Federal Regulations, Sec. 1.56.

I hereby claim foreign priority benefits under Title 35, United States Code, Sec. 119(a)-(d) of any foreign application(s) for patent or inventor's certificate listed below and have also identified below any foreign application for patent or inventor's certificate having a filing date before that of the application on which priority is claimed:

Prior Foreign Application(s)			Priority Claimed	
_____ (Number)	_____ (Country)	_____ (Day/Month/Year Filed)	<input type="checkbox"/> Yes	<input type="checkbox"/> No

I hereby claim the benefit under Title 35, United States Code, Sec. 119(e) of any United States provisional application listed below:

Provisional Application No.

Filing Date

I hereby claim the benefit under Title 35, United States Code, Sec. 120 of any United States application(s), or Sec. 365(c) of any PCT International application designating the United States, listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States or PCT International application in the manner provided by the first paragraph of Title 35, United States Code, Sec. 112. I acknowledge the duty to disclose information which is material to patentability as defined in Title 37, Code of Federal Regulations, Sec. 1.56 which became available between the filing date of the prior application and the national or PCT international filing date of this application:

08/345,523	November 28, 1994	5,615,277
08/442,895	May 17, 1995	5,613,012
08/705,399	August 29, 1996	5,870,723
09/239,570	January 29, 1999	pending
(App. Serial No.)	(Filing Date)	(Status -patented, pending, etc.)

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

**Full name of first co-inventor:** **PHILIP D. LAPSLEY**

Inventor's signature *Philip D. Lapsley* 30 Nov 2000  
(Date)

Residence: Oakland, California

Citizenship: United States

Post Office address: 6029 Hillegass Avenue  
Oakland, California 94618

**Full name of second co-inventor:** **JONATHAN ALEXANDER LEE**

Inventor's signature *Jonathan Lee* 11/30/00  
(Date)

Residence: Oakland, California

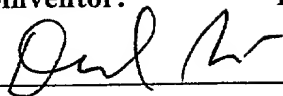
Citizenship: United States

Post Office address: 6116 Telegraph Avenue  
Oakland, California 94609

Full name of third co-inventor:

DAVID FERRIN PARE, JR.

Inventor's signature



12/1/00  
(Date)

Residence:

Berkeley, California

Citizenship:

United States

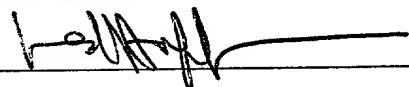
Post Office address:

1430 Josephine Street, Apt. R7,  
Berkeley, California 94703

Full name of fourth co-inventor:

NED HOFFMAN

Inventor's signature



12/03/05  
(Date)

Residence:

Sebastopol, California

Citizenship:

United States

Post Office address:

977 Daniel Street  
Sebastopol, California 95472

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re patent application of:

PHILIP D. LAPSLEY, JONATHAN ALEXANDER LEE,  
DAVID FERRIN PARE, JR. and NED HOFFMAN

Serial No. (filed herewith) Examiner: (unknown)

Filed: (filed herewith) Group Art Unit: (unknown)

For: TOKENLESS BIOMETRIC ELECTRONIC FINANCIAL TRANSACTIONS  
VIA A THIRD PARTY IDENTICATOR

Assistant Commissioner for Patents  
Washington, DC 20231

**POWER OF ATTORNEY BY ASSIGNEE OF ENTIRE INTEREST  
AND REVOCATION OF PRIOR POWERS**

I, Philip D. Lapsley, Vice President, Engineering of VeriStar Corporation, having a place of business at 727 Allston Way, Berkeley, California 94710, assignee of the entire right, title and interest of the above-described U.S. patent application, by the assignment submitted under separate cover for recordal (copy enclosed), represent that I am empowered to sign on behalf of assignee.

As assignee of the above identified application, all powers of attorney previously given are hereby revoked and the following attorneys and/or patent agents are hereby appointed to prosecute and transact all business in the Patent and Trademark Office connected therewith:



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PATENT TRADEMARK OFFICE

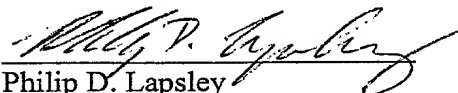
<u>Attorney Name</u>	<u>Registration No.</u>
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Alexander C. Johnson, Jr.	29,396
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James G. Stewart	32,496
Glenn C. Brown	34,555
Stephen S. Ford	35,139
Julie L. Reed	35,349
Gregory T. Kavounas	37,862
Scott A. Schaffer	38,610
Joseph S. Makuch	39,286
James E. Harris	40,013
Graciela G. Cowger	42,444
Ariel Rogson	43,054
Craig R. Rogers	43,888

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Alexander C. Johnson, Jr.  
Marger Johnson & McCollom, P.C.  
1030 S.W. Morrison Street  
Portland, Oregon 97205

VERISTAR CORPORATION,  
a Delaware Corporation

Date: November 30, 2000

  
Philip D. Lapsley  
Vice President, Engineering

12/06/00



12/06/00 U.S. PTO

12-08-00

12-27-2000

PATENT APPLICATION  
torney's Do. No. 8514-52

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IN THE UNITED S



OFFICE

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Assistant Commissioner for Patents  
Washington, D.C. 20231



Please record the attached original document or copy thereof and return the recorded instrument to the undersigned.

- 1 Name of party(ies) conveying an interest: (1) **Philip D. Lapsley**, 6029 Hillegass Avenue, Oakland, California 94618; (2) **Jonathan Alexander Lee**, 6116 Telegraph Avenue, Oakland, California 94609; (3) **David Ferrin Pare, Jr.**, 1430 Josephine Street, Apt. R7, Berkeley, California 94703; (4) **Ned Hoffman**, 977 Daniel Street, Sebastopol, California 95472
- 2 Name and address of party(ies) receiving an interest: **VeriStar Corporation**, a Delaware Corporation, 727 Allston Way, Berkeley, California 94710
- 3 Description of the interest conveyed: ☒ Assignment  
Date of execution of attached document: (1) November 30, 2000; (2) November 30, 2000; (3) December 1, 2000 and (4) December 3, 2000. 09/73/536
- 4 Application number(s) or patent number(s). Additional sheet attached? No

If this document is being filed together with a new application, the execution date of the application is:

(1) November 30, 2000; (2) November 30, 2000; (3) December 1, 2000 and (4) December 3, 2000.

- 5 Name and address of party to whom correspondence concerning document should be mailed:

MARGER JOHNSON & McCOLLOM, P.C.  
1030 S.W. Morrison Street  
Portland, Oregon 97205  
Telephone: (503) 222-3613

- 6 Number of applications and patents involved: 1
- 7 Enclosed is our check for \$40
- 8 Any deficiency or overpayment should be charged or credited to deposit account number 13-1703.
- 9 To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

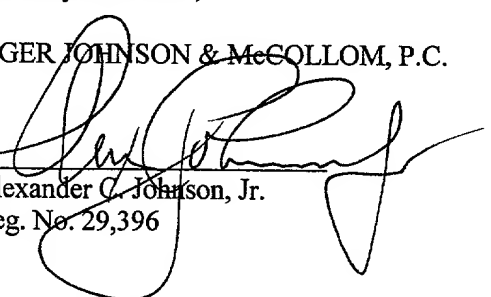
12/27/2000 GTON11 00000011 09731536

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40.00 DP

Respectfully submitted,

MARGER JOHNSON & McCOLLOM, P.C.

By   
Alexander C. Johnson, Jr.  
Reg. No. 29,396

Dated: 6 Dec 2000

1030 S.W. Morrison Street  
Portland, Oregon 97205  
(503) 222-3613

**ASSIGNMENT**

**Assignor:** Philip D. Lapsley

**Address:** 6029 Hillegass Avenue  
Oakland, California 94618

**Assignor:** Jonathan Alexander Lee

**Address:** 6116 Telegraph Avenue  
Oakland, California 94609

**Assignor:** David Ferrin Pare, Jr.

**Address:** 1430 Josephine Street, Apt. R7  
Berkeley, California 94703

**Assignor:** Ned Hoffman

**Address:** 977 Daniel Street  
Sebastopol, California 95472

**Assignee:** VeriStar Corporation,  
a Delaware Corporation

**Address:** 727 Allston Way  
Berkeley, California 94710

**Title of  
Invention:** TOKENLESS BIOMETRIC ELECTRONIC FINANCIAL  
TRANSACTIONS VIA A THIRD PARTY IDENTIFICATOR

U.S. Patent Application:

Application Serial No.:

Filing Date:

U.S. Patent No.:

Issued:





Executed at Berkeley, CA, this 30 day of November, 2000.

Jonathan Alexander Lee  
Jonathan Alexander Lee, Assignor

STATE OF CALIFORNIA     )  
  ) ss.  
County of \_\_\_\_\_ )

Before me this \_\_\_\_\_ day of \_\_\_\_\_, 2000, personally appeared the above-named individual, to me known to be the person who is described in and who executed the foregoing assignment instrument and acknowledged to me that he executed the same of his own free will for the purpose therein expressed.

\_\_\_\_\_  
Notary Public for California  
My commission expires:

[SEAL]

Executed at Berkeley, Ca, this 1 day of Dec, 2000.

David Ferrin Pare, Jr.  
David Ferrin Pare, Jr., Assignor

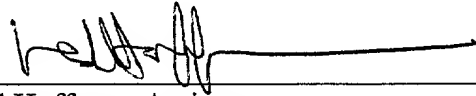
STATE OF CALIFORNIA     )  
  ) ss.  
County of \_\_\_\_\_ )

Before me this \_\_\_\_\_ day of \_\_\_\_\_, 2000, personally appeared the above-named individual, to me known to be the person who is described in and who executed the foregoing assignment instrument and acknowledged to me that he executed the same of his own free will for the purpose therein expressed.

\_\_\_\_\_  
Notary Public for California  
My commission expires:

[SEAL]

Executed at BERKELEY, CA, this 3<sup>rd</sup> day of DECEMBER, 2000.



Ned Hoffman, Assignor

STATE OF CALIFORNIA                    )  
  ) ss.  
County of \_\_\_\_\_ )

Before me this \_\_\_\_\_ day of \_\_\_\_\_, 2000, personally appeared the above-named individual, to me known to be the person who is described in and who executed the foregoing assignment instrument and acknowledged to me that he executed the same of his own free will for the purpose therein expressed.

\_\_\_\_\_  
Notary Public for California  
My commission expires:

[SEAL]

11-03-00 11:03 AM